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Who was involved in the QIP development: Deb Pullen, Jane Nelson, Denise Stromme, Wanda Carlson	
What data was reviewed to support findings? Data from ASSIST; December 1, 2005 618 data; Child Outcomes Measurement tool; Family Outcomes Measurement Tool; File Review Data; Compliance Review Data	
Focus Group? Yes or No. If yes, describe the group, issues, and responses: NO	

Executive Summary:

The Region V QIP team members are certain that the plan developed will be able to address the areas of noncompliance identified this quarter.

Several areas can be brought into compliance by procedures and training that will assure that correct and needed information is put into the ASSIST system. Indicator #1 was out of compliance due to lack of knowledge that start dates for consultation services needed to be different than the start date of Infant Development even though it is a part of the Infant Development Program. Parts of the Case Review monitoring for Indicators #7 and #9 also were out of compliance due to confusion with specific information that should be reported on evaluations and IFSPs.

Although Indicator #2 is only .65% from the state target, the team realizes this area will continue to be of concern due to private agencies in our region that provide duplicate services in atypical settings so several activities were included that focus on this ongoing issue.

Only baseline data was available for Indicator #3 so no improvement activities were discussed at this time. If the next review indicates noncompliance, the team will address this indicator at that time.

Baseline data for Indicator #4 was reviewed but because no targets or comparative data was available, this indicator was not addressed at this time. Over 75% of families in our region reported positive outcomes in the 3 areas, only slightly below the statewide average.

Region V is in compliance with Indicators # 5 and although slightly below the state average, Indicator #6 is at the state target. Indicator #8 is also in compliance.

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

Measurement: Percent = # of infants and toddlers with IFSPs who receive the EI service on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System Process:

Numerator is the number of children of whom all services were received in a timely manner divided by the number of children whose files were reviewed. If a child had more than one service and not all services were received in a timely manner then the file was counted as out of compliance completely. Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Baseline Data: 4 infants and toddlers with IFSPs received early intervention services on their IFSPs in a timely manner divided by 6 infants and toddler with IFSPs times 100 = **66.67 percent**.

Discussion of Baseline Data:

	Jul-Sept. 06 Region 5	Oct.-Dec. 06 Region 5	Jan.-Mar. 07 Region 5	Apr.-Jun. 07 Region 5	Overall State
# Children	6				27
# Services Delivered timely	4				16
%	66.67%				59.26%

Example: There is/are 12 service(s) being provided to 6 child(ren). Of those services, 2 service(s) is/are not being received in a timely manner; however some children receive more than one service. Of the 6 children receiving services, 4 are receiving all their services in a timely manner.

The reason this service is not being received is due to system not requesting and tracking this at time of data collection. Consultations start date time frame on IFSP are no more clear. Staff have not been experienced in tracking this information but are aware now with additional training to do so. Month of anticipated start of service will be documented.

Timely manner has not been identified, however we are using a time frame of the service starting before or within 2 weeks of the start date. Although we do not know which services were identified as not timely we are assuming it is the consultation services that are a part of the Infant Development Program. Since no instruction had been given to use a different start date other than Infant Development, all IFSPs prior to June 2007 will have consultation services with the same start date of Infant Development.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2006 (2006 - 2007)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2007 (2007 - 2008)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2008 (2008 - 2009)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2009 (2009 - 2010)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2010 (2010 - 2011)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Staff training on new documentation requirements will be done.	7-14-2007 and ongoing	Deb Pullen, SE KIDS Denise Stromme, DDCM
Clarification of EI requirements to track services and how this information was obtained.	7-14-2007	Wanda Carlson, DDPA

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive EI services in the home or programs for typically developing children.

Measurement: Percent = # of infants and toddlers with IFSPs who receive EI services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System Process:

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 2 R5 Quarterly'.

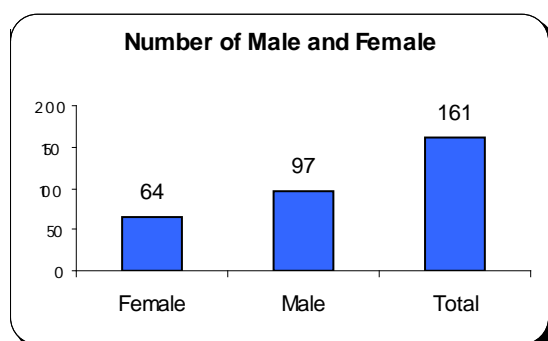
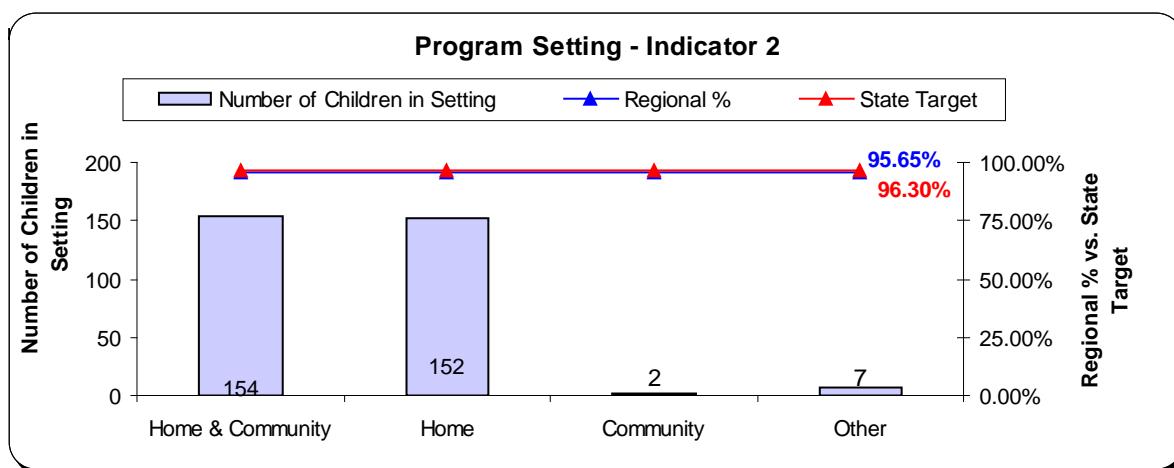
Baseline Data: 123 infants and toddlers with IFSPs received early intervention services in the home or programs for typically developing children divided by 123 infants and toddler with IFSPs times 100 = **100 percent**.

Discussion of Baseline Data:

There are 161 infants and toddlers being served in Region 5. 9 of the infant/toddlers had a data error of the type of service provided. Data indicated daily service was provided.

Of the 2 receiving services in a program for typically developing children and the other 152 are receiving services in their home. 7 infant/toddlers are being served in the "other" setting. Therefore, 95.65% are being served in the home or program for typically developing children. The State target for FFY 2005-2006 is for 96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children. According to the data our region is within 1% of the State target.

It is anticipated that this Indicator may become a challenge to meet in this region and the percentages could possibly decline. This is due to the many private service providers in the region that provide duplicate evaluation services and direct intervention. Physicians are prescribing therapy and parents do not want to go against doctor's recommendations. Although some therapy is provided in the home or daycare, it does not always include parents and/or caregivers, does not use a transdisciplinary approach and often after several months, therapist recommend center based programming rather than home based.



	Bad Data
ID#	491929
	498487
	500858
	509459
	517043
	521106
	521342
	538802
	541030

	Jul-Sept. 06 Region 5	Oct.-Dec. 06 Region 5	Jan.-Mar. 07 Region 5	Apr.-Jun. 07 Region 5	State Target
# Children	123	155	161		
Male	N/A	91	97		
Female	N/A	57	64		
Home & Community	123	140	154		
Male	N/A	86	91		
Female	N/A	54	63		
Other	0	8	7		
Male	N/A	5	6		
Female	N/A	3	1		
Data to Be fixed	N/A	7	9		
Male	N/A	5	6		
Female	N/A	2	3		
% in Home/Community	100.0%	94.59%	95.65%		96.30%

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2006 (2006 - 2007)	96.4% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2007 (2007 - 2008)	96.5% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2008 (2008 - 2009)	96.6% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2009 (2009 - 2010)	96.8% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2010 (2010 - 2011)	97% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Evaluate with IFSP team/family what is best for each individual child and document reasoning for environment in which service is delivered.	7-14-2007	Deb Pullen, SE KIDS Denise Stromme, DDCM
Develop a handout based on research for parents explaining the differences between the medical model and educational model of service delivery for early intervention services.	12-30-2007	Deb Pullen, SE KIDS

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);**
B. Acquisition and use of knowledge and skills (including early language/communication); and
C. Use of appropriate behaviors to meet their needs.

Measurement:

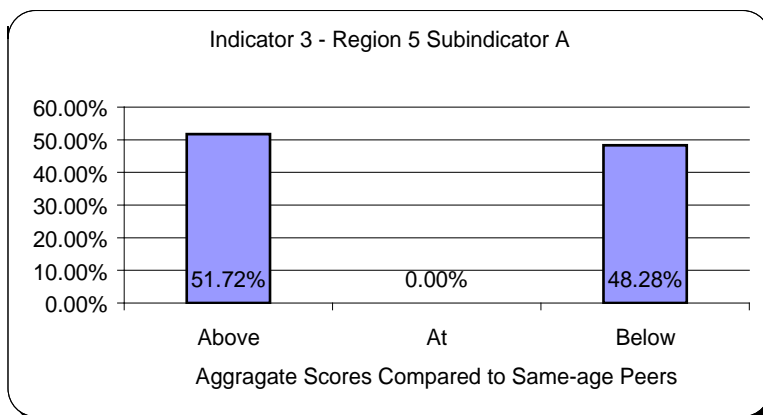
- A. Percent = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100;
 B. Percent = # of infants and toddlers who improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100; and
 C. Percent = # of infants and toddlers who did not improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100.

If children meet the criteria for A, report them in A. Do not include children reported in A in the B or C measurement. If A + B + C does not sum 100%, explain the difference.

Overview of Issue/Description of System Process:

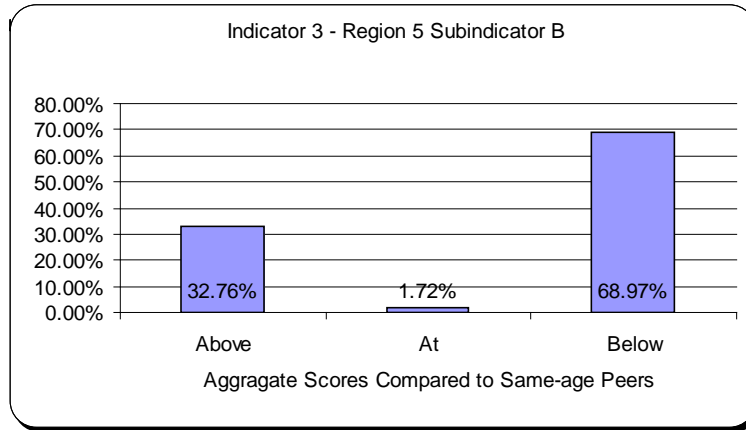
Baseline Data:

A. Positive social-emotional skills (including social relationships); Baseline data indicate that of the 58 files of 63 files provided are clean; 51.72% children are functioning above age level, 0% are functioning at age level, and 48.28% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



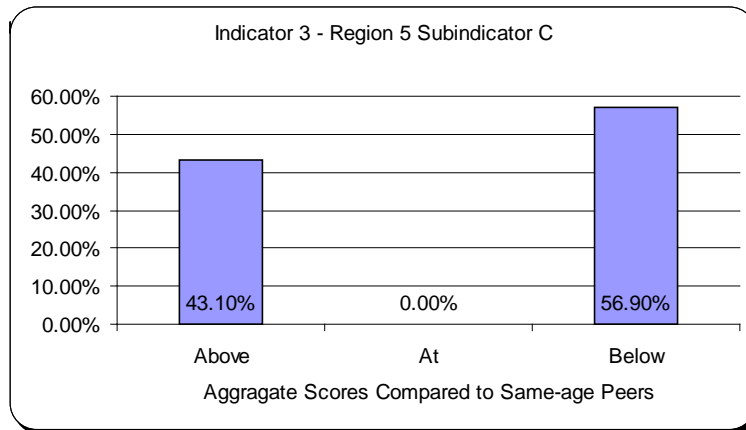
Indicator Table	N=8	Sub Indicator A 10/1/06			N=58	Sub Indicator A 4/1/07		
		Above	At	Below		Above	At	Below
		0	4	4		30	0	28
		0.00%	50.00%	50.00%		51.72%	0.00%	48.28%

B. Acquisition and use of knowledge and skills (including early language/communication); Baseline data indicate that of the 58 files of 63 files provided are clean; 32.76% children are functioning above age level, 1.72% are functioning at age level, and 68.97% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=8	Sub Indicator B 10/1/06			N=58	Sub Indicator B 4/1/07		
		Above	At	Below		Above	At	Below
		1	0	7		19	1	40
		12.50%	0.00%	87.50%		32.76%	1.72%	68.97%

C. Use of appropriate behaviors to meet their needs. Baseline data indicate that of the 58 files of 63 files provided are clean; 43.10% children are functioning above age level, 0% are functioning at age level, and 56.90% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=8	Sub Indicator C 10/1/06			N=58	Sub Indicator C 4/1/07		
		Above	At	Below		Above	At	Below
		0	0	8		25	0	33
		0.00%	0.00%	100.00%		43.10%	0.00%	56.90%

Discussion of Baseline Data: Region 5 had 63 files with Child PAR data provided by the state through the ASSIST system query (Data pulled from ASSIST Child PAR and provided in excel workbook on Indicator 3 tab.) Of those, 5 contained data errors. Therefore, 58 files were used for baseline data. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
To be determined as data is made available.		

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 4: Percent of families participating in Part C who report that EI service have helped the family:

- A. Know their rights;**
B. Effectively communicate their children's needs; and
C. Help their children develop and learn.

Measurement:

- A. Percent = # of respondent families who report that EI services have helped the family know their rights divided by the total # of respondent families participating in Part C times 100;
 B. Percent = # of respondent families who report that EI services have helped the family effectively communicate their children's needs divided by the total # of respondent families participating in Part C times 100; and
 C. Percent = # of respondent families who report that EI services have helped the family help their children develop and learn divided by the total # of respondent families participating in Part C times 100.

Overview of Issue/Description of System Process:

Data is collected through a Family Survey. Results are located in the Excel file on tab labeled 'Indicator 4'.

Baseline Data:

- A. Respondents who feel that EI has helped their family know and understand their rights:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
5	33	25	75.76%
Statewide	213*	180	84.51%

*2 respondents skipped this question.

- B. Respondents who feel that EI has helped their family effectively communicate their child's needs:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
5	33	28	84.85%
Statewide	212	188	88.68%

*3 respondents skipped this question.

- C. Respondents who feel that EI has helped their family to be able to help their child develop and learn:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
5	33	27	81.82%
Statewide	213*	183	85.92%

*2 respondents skipped this question.

Discussion of Baseline Data:

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
To be determined as data and targets are made available.		

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:
A. State data.

Measurement:

A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to North Dakota.

Overview of Issue/Description of System Process:

Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 5 early intervention system was serving 48 infants and toddlers birth to 1. The total population of Region 5 infants and toddlers birth to 1 was 2,074. **2.31 percent** of the total population under 1 was served.

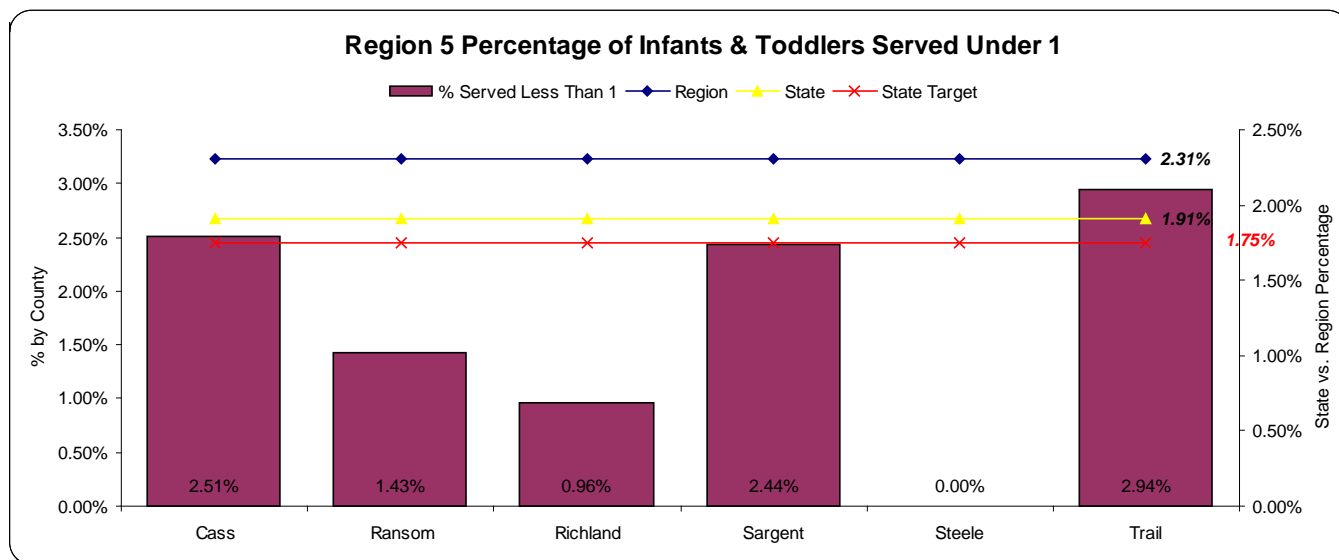
Discussion of Baseline Data:

Region V is exceeding the state target. Although no children in Steele county are served, the low population of this area makes this statistic reasonable.

Percentage of Infants and Toddlers Served

County #	County	Less Than 1 in ID on 4/1/07	Children Less Than 1 Living in County	% Served Less Than 1
9	Cass	41	1631	2.51%
37	Ransom	1	70	1.43%
39	Richland	2	208	0.96%
41	Sargent	1	41	2.44%
46	Steele	0	22	0.00%
49	Trail	3	102	2.94%
Region V		48	2074	2.31%
State		146	7,660	1.91%
State Target				1.75%

4/1/2007



Percentage of Infants and Toddlers Served under 1

	Jul-Sept. 06 Region 5	Oct.-Dec. 06 Region 5	Jan.-Mar. 07 Region 5	Apr.-Jun. 07 Region 5	Current Qtr. Percentage
Cass	33	49	41		2.51%
Ransom	0	1	1		1.43%
Richland	3	5	2		0.96%
Sargent	1	2	1		2.44%
Steele	0	0	0		0.00%
Trail	1	4	3		2.94%
Region V	38	61	48		2.31%
State	146				1.91%
Percentage	1.83%	2.94%	2.31%	%	-
State Target	-	-	-	-	1.75%

Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Cass	N/A	N/A	28	21	19	22		
Ransom	N/A	N/A	0	1	0	1		
Richland	N/A	N/A	5	0	2	0		
Sargent	N/A	N/A	2	0	1	0		
Steele	N/A	N/A	0	0	0	0		
Trail	N/A	N/A	2	2	1	2		

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	1.75 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	1.78 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	1.81 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	1.84 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	1.87 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	1.90 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Not applicable.		

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:

A. State data.

Measurement:

A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to North Dakota.

Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 5 early intervention system was serving 180 infants and toddlers birth to 3. The total population of Region 5 infants and toddlers birth to 3 was 6,237. **2.89 percent** of the total population under 3 was served.

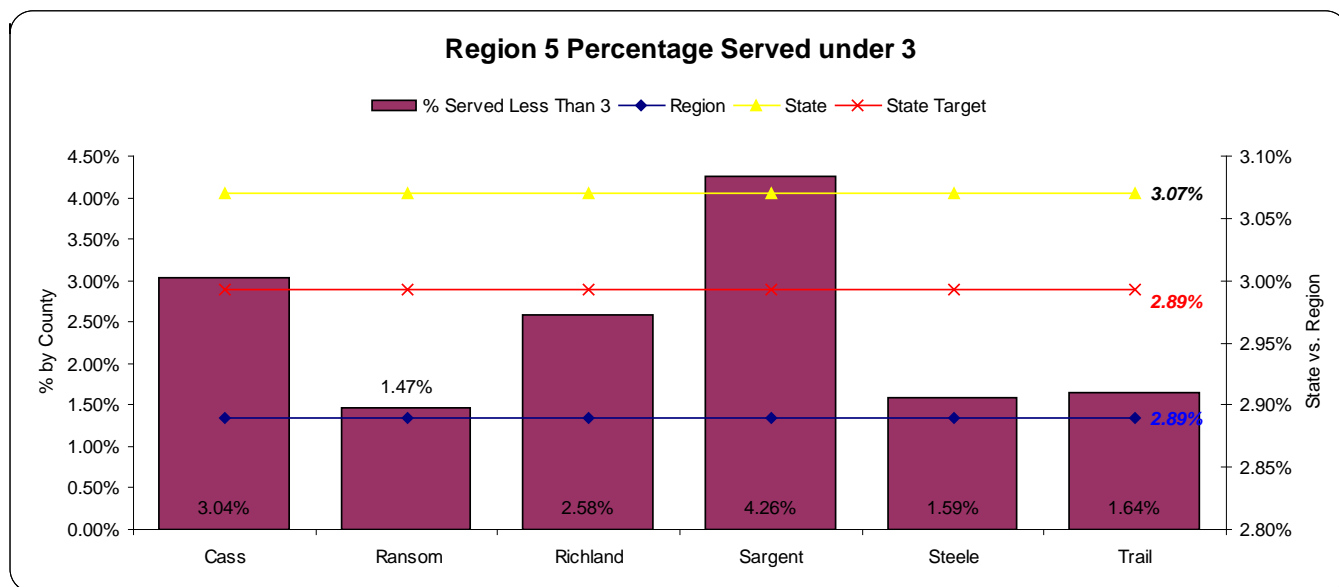
Discussion of Baseline Data:

Region V is at the state target. We are slightly behind the overall state percentage, but this likely due to the fact that there are many private providers in the region that also offer services to young children and some children therefore do not get referred for our services.

Percentage of Infants and Toddlers Served

County #	County	Number in ID on 4/1/07	Children Less Than 3 Living in County	% Served Less Than 3
9	Cass	149	4906	3.04%
37	Ransom	3	204	1.47%
39	Richland	16	619	2.58%
41	Sargent	6	141	4.26%
46	Steele	1	63	1.59%
49	Trail	5	304	1.64%
Region V		180	6237	2.89%
State		718	23,357	3.07%

4/1/07



Percentage of Infants and Toddlers Served under 3

	Jul-Sept. 06 Region 5	Oct.-Dec. 06 Region 5	Jan.-Mar. 07 Region 5	Apr.-Jun. 07 Region 5	Current Qtr. Percentage
Cass	123	126	149		2.57%
Ransom	0	3	3		1.47%
Richland	15	16	16		2.58%
Sargent	6	6	6		4.26%
Steele	1	1	1		1.59%
Trail	2	6	5		1.97%
Region V	147	158	180		2.53%
State	718				3.07%
Percentage	2.36%	2.53%	2.89%	%	-
State Target	-	-	-	-	2.89%

Percentage of Infants and Toddlers Served under 3 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Cass	N/A	N/A	78	48	92	57		
Ransom	N/A	N/A	1	2	1	2		
Richland	N/A	N/A	10	6	10	6		
Sargent	N/A	N/A	5	1	5	1		
Steele	N/A	N/A	1	0	1	0		
Trail	N/A	N/A	4	2	3	2		

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	2.89 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	2.98 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	3.07 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	3.16 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	3.25 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	3.34 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Not applicable.		

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

Measurement:

Percent = # of eligible infants and toddlers birth to 1 with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

Overview of Issue/Description of System Process:

Baseline Data:

From X date to x date, xx eligible infants and toddlers had evaluations, assessments and an initial IFSP meeting conducted within 45 days of referral. Xx infants and toddlers were found eligible. Xx percent of eligible infants and toddlers had evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

Discussion of Baseline Data:

The 45 day timeline can be a challenge to meet at times when there are more than 15 or more new referrals in a month. The Infant Development Program does not always have speech pathologist and occupational therapist time available to schedule and complete more than 2 initial evaluations a week. Contacting parents can also be a challenge, especially when they did not initiate the referral and may not see it as a priority. There has been confusion, lack of knowledge of expectations, and frequent changes in procedures regarding elements of the evaluation and IFSP both in the ASSIST and Lotus Notes program as well as the content of evaluations and IFSPs. Vision was addressed in evaluations, but specific screening tools were not always reported. We are unsure why the cognitive area was at a lower percentage. If the current understanding of what is required stays in place, evaluations and IFSPs developed after May 2007 should be in compliance. The Infant Development staff do not have the resources to do hearing screenings yet and previous resources are rarely available during the initial 45 days.

Case Review Data (April, May, June 2006) – Without Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 5*		Statewide	
	+	% +	+	% +
45 Day Timeline	3	75.00%	25	75.76%
Multi-Disciplinary Evaluation	3	100%	24	96.00%
Gross Motors	3	100%	24	96.00%
Fine Motor	3	100%	25	100%
Vision	1	33.33%	20	80.00%
Hearing	0	0.00%	10	40.00%
Cognitive	2	66.67%	20	80.00%
Communication	3	100%	23	92.00%
Adaptive	3	100%	24	96.00%
Social/Emotional	3	100%	23	92.00%

Statewide, of the 33 files, there were 25 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 5	Region 5	Region 5	Region 5	State Comparison
45 Day Timeline	75.00%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%
Fine Motor	100%				100%
Vision	33.33%				80.00%
Hearing	0.00%				40.00%
Cognitive	66.67%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

Case Review Data (April, May, June 2006) –Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 5		Statewide	
	+	% +	+	% +
45 Day Timeline	4	100%	33	100%
Multi-Disciplinary Evaluation	4	100%	32	96.97%
Gross Motors	4	100%	31	93.94%
Fine Motor	4	100%	33	100%
Vision	2	50.00%	28	84.85%
Hearing	1	25.00%	14	42.42%
Cognitive	3	75.00%	28	84.85%
Communication	4	100%	31	93.94%
Adaptive	4	100%	32	96.97%
Social/Emotional	4	100%	31	93.94%

Statewide, of the 33 files, accounting for those past the 45 days due to family reasons, there were 33 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 5	Region 5	Region 5	Region 5	State Comparison
45 Day Timeline	100%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%

Fine Motor	100%				100%
Vision	50.00%				80.00%
Hearing	25.00%				40.00%
Cognitive	75.00%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2006 (2006 - 2007)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2007 (2007 - 2008)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2008 (2008 - 2009)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2009 (2009 - 2010)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2010 (2010 - 2011)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Specific tools used for vision screening will be noted on EI reports – training has occurred.	7-14-2007	Deb Pullen, SE KIDS Denise Stromme, DDCM
Clarification needed for timelines (start date = at referral vs. upon parent consent/signature; also 45 day timeline for evaluation completed vs. IFSP in place) so compliance measures are valid.	12-31-2007	Deb Balsdon, DDD
EI staff will be trained in administering hearing screening (need both equipment and training).	12-31-2007	Deb Balsdon, DDD Deb Pullen, SE KIDS
Reduce caseloads of speech pathologists and occupational therapists so they have more time available for evaluations.	12-30-2007	Deb Pullen, SE KIDS

Monitoring Priority: Effective General Supervision Part C/Effective Transition

Part C Priority Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;**
- B. Notification to LEA, if child potentially eligible for Part B; and**
- C. Transition conference, if child potentially eligible for Part B.**

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to LEA occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.

Overview of Issue/Description of System Process:

Baseline Data:

A. Statewide, 20 of the sampled children exiting Part C had an IFSP with transition steps and services included in their IFSP. 21 children exiting Part C were sampled. **95.24** percent had an IFSP with transition steps and services. Regionally, 100 percent had an IFSP with transition steps and services.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
5	1	1	100.00%
Statewide	20	21	95.24%

B. Statewide, LEAs were notified for 20 of the sampled children who were exiting Part C and were potentially eligible for Part B. 21 children exiting Part C and potentially eligible for Part B were sampled. LEAs were notified for **95.24** percent of the sampled children who were exiting Part C and were potentially eligible for Part B. Regionally, LEAs were notified for 100 percent of the sampled children who were exiting Part C and were potentially eligible for Part B.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
5	1	1	100.00%
Statewide	20	21	95.24%

C. Statewide, 15 of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. 21 children exiting Part C and potentially eligible for Part B were sampled. **71.43%** percent of the sample children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. Regionally, 100 percent of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
5	1	1	100.00%
Statewide	15	21	71.43%

Discussion of Baseline Data:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006. With only one case reviewed, Region V is in compliance on this Indicator.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2006 (2006 - 2007)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2007 (2007 - 2008)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2008 (2008 - 2009)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2009 (2009 - 2010)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2010 (2010 - 2011)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Not applicable.		

Monitoring Priority: Effective General Supervision Part C/ General Supervision

Part C Priority Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

Measurement:

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to priority areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to such areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification.
- # of EIS programs in which noncompliance was identified through other mechanisms.
 - # of findings of noncompliance made.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – c divided by b times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

Overview of Issue/Description of System Process:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Baseline Data: Case Review Data (April, May, June 2006)

Overall Compliance by Region & Statewide

Region	Region 5 % in Compliance	State % in Compliance
Compliance (Y/N)	N	N
Ratio Non-compliance: Compliant	7/9	7/9

Indicator 9 Compliance Data Points: Case Review Data (April, May, June 2006)

Compliance % by Region & Component, & State

Monitoring Survey Item	Region 5 % in Compliance	State % in Compliance
IFSP Effective Date	100.00%	100.00%

Functional & Measurable	62.50%	47.54%
Location of Services	100.00%	100.00%
Individual or Group	100.00%	100.00%
Delivery Method	100.00%	100.00%
Funding Source	100.00%	100.00%
Service Duration	100.00%	100.00%
Parent's Rights Documented	100.00%	100.00%
Rationale	62.50%	63.16%
6 Month & Annual Review	0.00%	0.00%
Written Prior Notice Provided	0.00%	0.00%

Indicator 9 Performance Data Points: Case Review Data (April, May, June 2006)

Progress % by Region & Component, & State

Monitoring Survey Item	Region 5 % of Progress	State % of Progress
Present Level of Performance	37.50%	14.83%
Child's Interest	37.50%	35.50%
IFSP Date	100.00%	88.82%
Minimum Participants Documented	50.00%	21.30%
Review of Pertinent Records	75.00%	75.11%
PLP Based on Objective Criteria	50.00%	54.20%
Early Literature	75.00%	55.19%
IFSP Included People Important to Family	62.50%	33.95%
Priorities Linked to Concerns, Strengths & Interests.	50.00%	16.72%
Included Family Interview	62.50%	56.04%
Priorities Ranked	12.50%	1.56%
Services and Supports Identified	87.50%	69.62%
Reflect Family Priorities	62.50%	39.72%
Developmentally Appropriate	62.50%	60.90%
Includes pre-literacy and language	75.00%	48.57%
Includes Routines Based Activities	75.00%	42.02%
Includes Use of Lay Language	50.00%	41.08%
Measurable Functional Activities	50.00%	46.19%
Frequency/Intensity Linked to Outcomes	37.50%	30.39%

Consultations Documented	37.50%	41.55%
Services	0.00%	21.39%
Devices	0.00%	23.66%
Discuss appropriate services	25.00%	22.50%
Review child's program options	37.50%	27.81%
Established Transition Plan	12.50%	19.06%
Steps taken to support child	12.50%	18.97%
Procedures to prepare child for new setting	14.29%	8.04%
Discussions of training of parents in training of future placement	0.00%	6.25%
Periodic Review Completed	14.29%	14.29%
Date and Team Members Included	16.67%	26.90%
Required IFSP Participants	0.00%	19.91%
	Region 5 % of Progress	State % of Progress
Cumulative % toward 70% Target (gap)	44.71% (25.29%)	36.46% (33.54%)

Discussion of Baseline Data:

There has been confusion, lack of knowledge of expectations, and frequent changes in procedures regarding elements of the IFSP both in the ASSIST and Lotus Notes program as well as the content of IFSPs. Some of the difficulty meeting compliance on these issues has to do with lack of computer time (entering all the information into both ASSIST and Lotus Notes is very time consuming) and the reliance on individual staff's ability to remember all the steps and all the narrative components that are necessary for this monitoring. The item "Discussion of training of parents in training of future placement" is an unknown component in this region. We also are uncertain as to what the percentage of progress data means. Some of the other components had low percentages because it was not known at the time of development that it needed to be specifically addressed or it was addressed but documentation was not in an electronic form that could be read by the monitors.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	<p>A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.</p>
2006 (2006 - 2007)	<p>A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.</p>
2007 (2007 - 2008)	<p>A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.</p>
2008 (2008 - 2009)	<p>A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.</p>
2009 (2009 - 2010)	<p>A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.</p>
2010 (2010 - 2011)	<p>A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification.</p> <p>C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.</p>

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Develop a manual for staff with all the necessary instructions to complete IFSPs in ASSIST and Lotus Notes in addition to all the content that is necessary.	12-30-2007	Deb Pullen, SE KIDS
Weekly peer review and team meetings for Infant Development staff to provide support and assistance when developing new IFSPs	12-1-2007	Deb Pullen, SE KIDS